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Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO. PCT/GB2003/003802	INTERNATIONAL FILING DATE 1 SEP 2003 (01.09.2003)	ATTORNEY'S DOCKET NUMBER 08830-0369US1 (formerly KC-0135)
TITLE OF INVENTION NOVEL THERMOPLASTIC HYDROGEL POLYMER COMPOSITIONS FOR USE IN PRODUCING CONTACT LENSES AND METHODS OF PRODUCING SAID COMPOSITIONS	PRIORITY DATE CLAIMED 31 AUG 2002 (31.08.2002)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/525,843
APPLICANT(S) FOR DO/EO/US Neil GRAHAM		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney and/or change of address letter. (w/Statement Under 37 CFR 3.73(b))
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450; DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 3

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Date of Deposit: **February 21, 2008**
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of person making deposit: *Neil Graham*
Name of person: **Neil Graham**

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **10/525,843**

INTERNATIONAL APPLICATION NO. **PCT/GB2003/003802**

ATTORNEY'S DOCKET NUMBER **08830-0369US1 (formerly KC-0135)**

20. Other items or information: **Postcard Receipt**

The following fees have been submitted

21. ☐ Basic national fee (37 CFR 1.492(a)) \$300

22. ☐ Examination fee (37 CFR 1.492(c)) \$200

If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0

23. ☐ Search fee (37 CFR 1.492(b)) \$100

If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) has been paid on the international application to the USPTO as an International Searching Authority \$400

International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$500

TOTAL OF 21, 22 and 23 =

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
- 100 =	/50 =		x \$250

Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =		x \$ 50
Independent claims	- 3 =		x \$200
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360

☒ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

TOTAL OF ABOVE CALCULATIONS = \$ 130

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

SUBTOTAL = \$ 65

TOTAL NATIONAL FEE = \$ 65

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

TOTAL FEES ENCLOSED = \$ 65

Amount to be refunded: \$

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- a. ☒ A check in the amount of \$ 65 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 30-0573. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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NAME

30,480

REGISTRATION NUMBER

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■ 215-988-2700

FACSIMILE INFORMATION SHEET

TO: PCT Operators Fax FROM: Daniel A. Monaco DIRECT DIAL: 215-988-3312
DATE: April 28, 2006 DOCUMENT NAME: Resubmission of Previously submitted Revocation of Power of Attorney
NUMBER OF PAGES: INCLUDING COVER 13
TELEPHONE NUMBER: _____ FAX NUMBER: 571-273-3201

IF YOU DO NOT RECEIVE THIS FAX DOCUMENT IN ITS ENTIRETY, PLEASE CALL JENNIFER HANNA AT 215-988-2991
DB&R FACSIMILE MACHINES
215-988-2757

Message:

Attached are copies of documents that were previously submitted to have the power of attorney assigned to our firm, customer number 23973 for Application No. 10/525,843.

Client/Matter No.: 8830-369 (47426-217541)

☒ Original will not follow

Original will follow via: Regular Mail Overnight Delivery Hand Delivery Other:

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I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

BY Jennifer R. Hanna

DATE: 4/28/06

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Attorney Docket No. 08830-0369US1
Re: Patent Application of Neil GRAHAM
Appl. No.: 10/525,843
Filed: (I.A. 1 Sep 2003 (01.09.2003))
For: NOVEL THERMOPLASTIC HYDROGEL POLYMER COMPOSITIONS FOR USE
IN PRODUCING CONTACT LENSES AND METHODS OF PRODUCING SAID
COMPOSITIONS

187 Rec'd PCT/PTO 23 FEB 2006

The U.S. Patent Office acknowledges and has stamped hereon the date of receipt of the attached paper(s):

(1) Transmittal (PTO-1390); (2) Declaration and Power of Attorney; (3) Application Data Sheet; (4) REVOCATION OF POWER OF ATTORNEY WITH NE
CHANGE OF CORRESPONDENCE ADDRESS (5) STATEMENT
assignment; (3) Check for surcharge \$ 65

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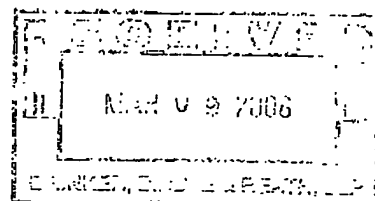
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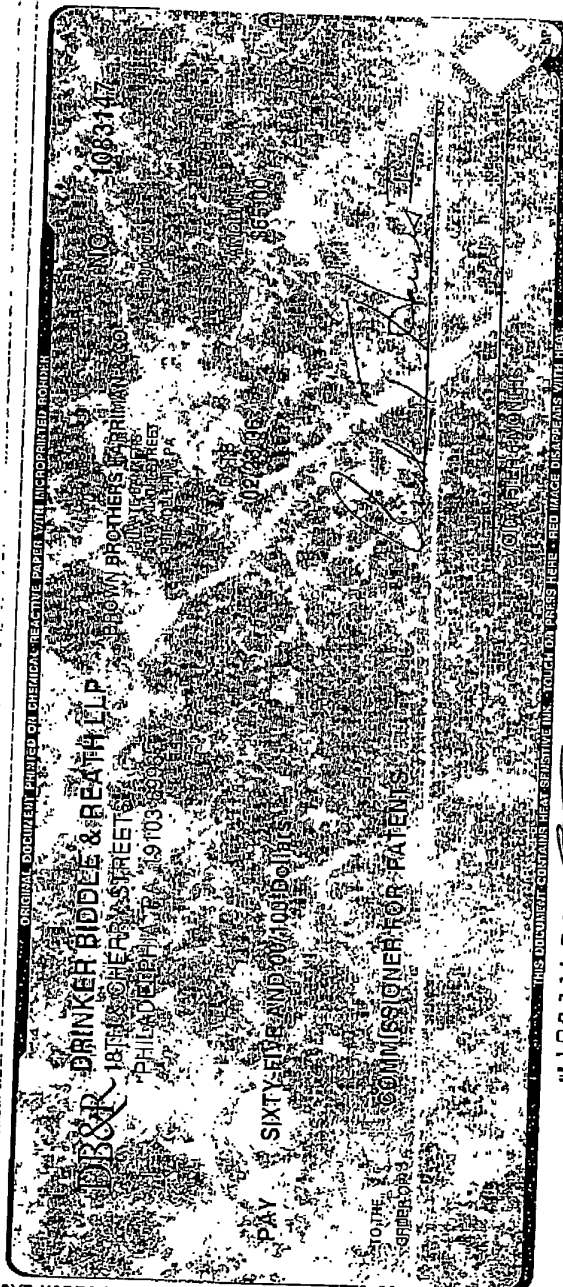
Attorney Docket No. 08830-0369US1
Re: Patent Application of Neil GRAHAM
Appl. No.: 10/525,843
Filed: (LA, 1 Sep 2003 (01.09.2003))
For: NOVEL THERMOPLASTIC HYDROGEL POLYMER COMPOSITIONS FOR USE
IN PRODUCING CONTACT LENSES AND METHODS OF PRODUCING SAID
COMPOSITIONS

The U.S. Patent Office acknowledges and has stamped hereon the date of receipt of
the attached paper(s):

(1) Transmittal (PTO-1380); (2) Declaration and Power of Attorney; (3) Application Data
Sheet; (4) REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND
CHANGE OF CORRESPONDENCE ADDRESS (5) STATEMENT UNDER 3.73(b) w/Copy of executed
assignment; (3) Check for surcharge \$ 65

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